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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/754,460	01/09/2004	Martin Schock	HOE-793

CONFIRMATION NO. 8004

FORMALITIES LETTER



OC000000013468360

 20028
 LAW OFFICE OF BARRY R LIPSITZ
 755 MAIN STREET
 MONROE, CT 06468

Date Mailed: 08/06/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/03/2004 DEMMANU1 00000074 10754460

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1053	130.00 OP
04 FC:1202	360.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:

- The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 9.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1030** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

R13h
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
M. Schock)
)
Application No.: **10/754,460**)
)
Filed: **January 9, 2004**)
)
For: **A DEVICE INCORPORATING A CHAMBER**)
THROUGH WHICH FLUID IS ADAPTED TO)
FLOW AND THE USE OF A DRAWER)
GUIDE IN SUCH A DEVICE)

MS MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to MS MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 31, 2004.

By: Carol Prentice
Carol Prentice

SUBMISSION OF MISSING PARTS OF
APPLICATION UNDER 37 C.F.R. 1.53

Dear Sir:

Enclosed are the following items for filing in the above-referenced U.S. patent application:

- [x] A check in the amount of \$1,430 to cover the total filing fee (\$1,260 - including foreign language surcharge set forth in 37 C.F.R. 1.17(i)); the surcharge set forth in 37 C.F.R. 1.16(e) (\$130); and the Assignment recordal fee (\$40);

Basic Fee -								\$ 770.00
Multiple Dependent Claims (270.00)								
Foreign Language Surcharge (\$130.00)								\$ 130.00
	For	No. Filed	-	No. Extra	-	Rate		
EXTRA CLAIMS	Total Claims	40	20	20	x	\$ 18.	=	\$ 360.00
	Independent Claims	2	3	0	x	\$ 86.	=	\$ 0
TOTAL FILING FEE								= \$ 1,260.00

- [x] Return receipt postage prepaid postcard;

- ☒ Formalities Letter (Part 2) mailed August 6, 2004;
 - ☒ Declaration and Power of Attorney, signed by the Applicant on 03/29/04;
 - ☒ Copy of Assignment executed on 03/29/04, together with separate transmittal form PTO-1595
 - ☒ Please include assignee information when this application is published
- Assignee: Schock Metallwerk GmbH**
Siemensstrasse 1-3
73660 Urbach
Germany
- ☒ Preliminary Amendment;
 - ☒ Verified English translation of the specification and claims (38 pages) - Applicant hereby requests that the verified English translation of the specification, as amended by the Preliminary Amendment submitted herewith, be used as the copy for examination purposes in the Patent Office;
 - ☒ Eight (8) sheets of formal replacement drawings, together with transmittal letter;
 - ☒ Information Disclosure Statement, together with Form PTO-1449 and copy of the cited document;
 - ☒ Supplemental Application Data Sheet.

Having now complied with all of the requirements of 37 C.F.R. Section 1.53, Applicant respectfully requests that this application be placed upon the files for examination.

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

Date: **August 31, 2004**
ATTORNEY DOCKET NO.: HOE-793

Respectfully submitted,



Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
755 Main Street, Bldg. 8
Monroe, CT 06468
(203) 459-0200



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
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Application No.: **10/754,460**)
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Filed: **January 9, 2004**)
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For: **A DEVICE INCORPORATING A CHAMBER**)
 THROUGH WHICH FLUID IS ADAPTED)
 TO FLOW AND THE USE OF A DRAWER)
 GUIDE IN SUCH A DEVICE)

DRAWING REVIEW BRANCH
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to MS MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:
August 31, 2004

By: Carol Prentice
Carol Prentice

TRANSMITTAL OF REPLACEMENT DRAWING(S)

Dear Sir:

In accordance with the requirement identified in the Notice to File Missing Parts of Nonprovisional Application mailed August 6, 2004, Applicant is herewith enclosing eight sheets of replacement drawings for filing in the above-identified U.S. patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

Date: **August 31, 2004**
ATTORNEY DOCKET NO.: HOE-793

Barry R. Lipsitz
Attorney for Applicant(s)
Registration No. 28,637
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Monroe, CT 06468
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